

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
28 March 2002 (28.03.2002)

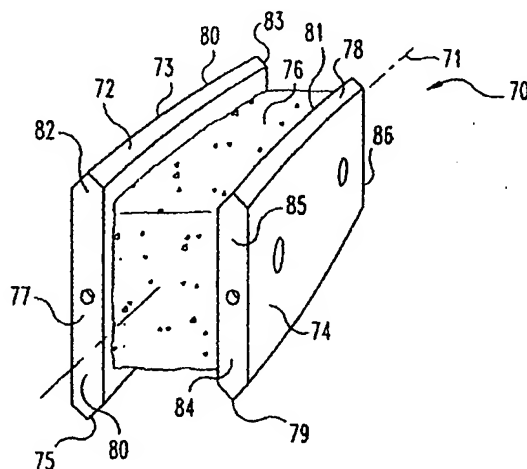
PCT

(10) International Publication Number
WO 02/24122 A2

- (51) International Patent Classification⁷: A61F 2/44 (74) Agents: MYERS, James, B., Jr. et al.; Woodard, Emhardt, Naughton, Moriarty & McNett, Bank One Center/Tower, Suite 3700, 111 Monument Circle, Indianapolis, IN 46204 (US).
- (21) International Application Number: PCT/US01/42193
- (22) International Filing Date:
18 September 2001 (18.09.2001)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:
09/664,652 19 September 2000 (19.09.2000) US
- (71) Applicant (for all designated States except US): SDGI HOLDINGS, INC. [US/US]; 300 Delaware Avenue, Suite 508, Wilmington, DE 19801 (US).
- (72) Inventor; and
- (75) Inventor/Applicant (for US only): BOYD, Lawrence, M. [US/US]; 25 Birnham Lane, Durham, NC 27707 (US).
- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

[Continued on next page]

(54) Title: REINFORCED MOLDED IMPLANT FORMED OF CORTICAL BONE



(57) Abstract: This invention relates to arthodesis for stabilizing the spine. More specifically, the present invention is directed to an intervertebral spacer (10, 40, 70, 90, 130, 160, 240, 260 and 300) formed of a bone material and to methods of treating patients having spinal deformities. The invention provides a spinal implant assembly, for example, spacer 810) comprising bone-derived components (12, 14, 16 and 16A). The bone-derived components can be formed into modular units that can be assembled to provide a wide variety of implant configurations. In addition, the bone-derived components can form scaffold that can withstand the shear and impact forces necessary to insert the assembled implant into a disc space between adjacent vertebrae. In preferred forms the implants define an open spacer that serves as a depot for receipt of an osteoconductive material (48). The osteogenic material is preferably a moldable filler that can form a molded reinforced implant.

WO 02/24122 A2



Published:

— without international search report and to be republished
upon receipt of that report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

REINFORCED MOLDED IMPLANT FORMED OF CORTICAL BONE

5

BACKGROUND OF THE INVENTION

The present invention relates to arthodesis for stabilizing the spine. More specifically, the present invention is directed to an intervertebral spacer formed of a bone material and to methods of treating patients having spinal deformities.

Removal of damaged or diseased discs and restoration of disc space height to treat chronic back pain and other ailments are known medical techniques. Implants such as intervertebral spacers are often implanted in the disc space to maintain or reestablish disc space height after removal of all or a portion of the disc. The spacers can be formed of a variety of both resorbable and non-resorbable materials, including, for example, titanium, surgical steel, polymers, composites, and bone. It is also often desirable to promote fusion between the vertebral bodies that are adjacent to the damaged or diseased discs. Typically an osteogenic material is combined with a spacer and inserted in the disc space to facilitate and promote bone growth. While the selection of the implant configuration and composition can depend upon a variety of considerations, for arthodesis it is often desirable to select a resorbable material than does not stress shield the bone ingrowth. Bone and bone-derived components can provide suitable material to prepare the implants. However, bone material acceptable for use in implants is a scarce resource, being derived from limited human tissue donor resources.

Suitable bone or bone-derived material for use in implants, in general, is almost exclusively obtained from allograft and xenograft sources, both which are precious resources. Since intervertebral spacers must withstand the compressive loads exerted by the spine, these implants are often formed from cortical long bones, which are primarily found in the lower limbs and include, for example, femur, fibula, and the tibia bones. The long bones make up only a fraction of the available bone source. Thus, sources of bone suitable for structural intervertebral spacers are extremely limited. The scarcity of desired donor bone makes it difficult to provide implants having the desired size and configuration for implantation between adjacent lumbar

vertebrae, which can require relatively large implants. It is further anticipated that as the population ages there will be an increased need for correction for spinal deformities and a concomitant increase in the demand for bone-derived components. Therefore, these structural bone portions must be conserved and used efficiently to provide implants. The scarcity of suitable bone material has also hindered efforts to design and manufacture varying configurations of suitable implants for arthodesis of the spine. Further, various implant configurations have not been physiologically possible to obtain given the structural and geometrical constraints of available donor bone.

10 In light of the above-described problems, there continues to be a need to provide suitable implants to facilitate patient treatment. The present invention addresses this need and provides a variety of other benefits and advantages.

SUMMARY OF THE INVENTION

The present invention relates to spinal implants, the manufacture and use thereof. Various aspects of the invention are novel, nonobvious, and provide various advantages. While the actual nature of the invention covered herein can only be determined with reference to the claims appended hereto, certain forms and features, which are characteristic of the preferred embodiments disclosed herein, are described briefly as follows.

In one form, the invention provides an implant for promoting bone fusion between adjacent vertebral bodies. The implant has a longitudinal axis and comprises an assembly of cortical bone-derived components. The components are comprised of a first strut having a first bone-engaging portion and an opposite second bone-engaging portion. A first impact surface is disposed between the first bone-engaging portion and the second bone-engaging portions. The bone-derived components also include a second strut spaced from the first strut. The second strut has a third bone-engaging portion and an opposite fourth bone-engaging portion. A second impact surface is disposed between the third and fourth bone-engaging portions. The components also include an elongate cross-member that extends from the first strut to the second strut. The second strut in cooperation with the first strut defines an internal space. In one embodiment the implant can be provided as an open cage. An osteogenic material can be combined with the implant. The osteogenic material can be interposed between the first and second strut. Preferably, the osteogenic material is provided to be formed within the internal space and/or adherent to at least one of a first strut, the second strut, and the cross-member. The osteogenic material can also be adapted to be moldable to provide a semi-rigid shaped component.

In alternative forms, the first and second strut can be defined by substantially planar walls that can be adapted to restore normal disc height and/or provide correct lordosis of the spine. The first and second strut can include opposite bone-engaging portions that are separated from each other at a first end of the strut by a distance D_1 and wherein the opposite bone-engaging portions uniformly taper to a second distance D_2 at a second end of the strut, such that D_1 is greater than D_2 .

Alternatively, the bone-engaging portions can define an arcuate surface wherein the opposite bone engaging portions separate from each other at a maximum distance at a point located between the first and the second end on the respective strut. In still yet other embodiments, the first and second struts can define curved wall portions that approximate the medullary canal of a long bone.

In another form, the present invention provides an implant for promoting bone fusion. The implant has a longitudinal axis and comprises an assembly of cortical bone-derived components. The components comprise a first strut having a first bone-engaging portion and an opposite second bone-engaging portion. The first strut also includes a first slot formed therein and extending from the first bone-engaging portion. The first slot has a first internal wall portion and opposing second internal wall portion and an internal end wall portion therebetween. The implant assembly also comprises a second strut that has a third bone-engaging portion and an opposite fourth bone-engaging portion. The second strut also has a second slot formed therein and extending from the third bone-engaging portion. The second slot includes a first internal wall portion and opposing second internal wall portion and internal end wall portion therebetween. The first slot is adapted to receive a portion of the second strut, and the second slot on the second strut is adapted to receive a portion of the first strut to interengage the first and second strut to form the implant assembly. When thus configured, the implant can be adapted to be inserted in the intervertebral space or, alternatively, the implant can be sized to provide a replacement for a vertebral body.

The present invention also provides a method of treating a spinal deformity. The method comprises surgically preparing a intervertebral space between adjacent endplates of adjacent vertebrae and inserting an implant into the prepared space. The implant comprises a first strut having a first bone-engaging portion, an opposite second bone-engaging portion and a first impact surface disposed therebetween, a second strut spaced from said first strut, said second strut having a third bone-engaging portion, an opposite fourth bone-engaging portion, and a second impact surface disposed therebetween, said second strut in cooperation with said first strut defining an internal space, and a cross-member extending from the first strut to the second strut into the intervertebral space.

In yet another form the present invention provides other methods for treating spinal deformities. These methods include surgically preparing a patient to receive a spinal implant; and implanting an implant comprising of an assembly of cortical bone-derived components. The cortical bone-derived components
5 include: a first strut having a first bone-engaging portion and a opposite second bone-engaging portion, said first strut having first slot formed therein, and a second strut having a third bone-engaging portion and a opposite fourth bone-engaging portion, said second strut interengaging said first strut to form the implant.

10 Further objects, features, aspects, forms, advantages and benefits shall become apparent from the description and drawings contained herein.

BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a plan view of one embodiment of an implant assembly according to the present invention.

5 FIG. 2 is an exploded view of the implant assembly illustrated in FIG. 1.

FIG. 3 is an elevated end view of a lordotic implant assembly including an osteogenic material.

FIG. 4 is a perspective view of the implant assembly depicted in FIG. 3.

10 FIG. 5 is a perspective view of an alternate embodiment of an implant assembly including an osteogenic material for use in the present invention.

FIG. 6 is an anterior view of adjacent vertebrae illustrating the bi-lateral placement of a pair of implant assemblies provided in accordance with the present invention.

15 FIG. 7 is a top view of a vertebral body illustrating the bi-lateral placement of a pair of implant assemblies provided in accordance with the present invention.

FIG. 8 is a perspective view of one embodiment of an implant assembly having three substantially parallel struts provided in accordance with the present invention.

FIG. 9 is an elevated end view of the implant assembly illustrated in FIG. 8.

20 FIG. 10 is a perspective view of an implant embedded in a matrix of osteogenic material.

FIG. 11 is a perspective view of one embodiment of a lordotic implant assembly for use in the present invention.

FIG. 12 is a perspective view of one embodiment of a domed implant assembly, for use with the present invention.

25 FIG. 13 is an anterior view of two adjacent vertebrae illustrating the placement of a domed implant assembly depicted in FIG. 12.

FIG. 14 is a perspective view of another embodiment of an implant assembly for use according to the present invention.

FIG. 15 is a top view of the implant assembly depicted in FIG. 14.

30 FIG. 16 is an exploded view of the implant assembly depicted in FIG. 14.

FIG. 17 is a top view of one embodiment of an implant assembly having curved struts for use in the present invention.

FIG. 18 is an elevated end view of the implant assembly depicted in FIG. 17.

FIG. 19 is a top view of the implant assembly depicted in FIG. 17 positioned on a superior endplate of a lumbar vertebra.

FIG. 20 is an exploded, partial view of an implant assembly of the present invention illustrating a dovetail connection of a cross-member and a strut.

FIG. 21 is an exploded, partial view an implant assembly of the present invention illustrating a threaded connection of a cross-member and a strut.

FIG. 22 is a partial sectional view of an implant assembly of the present invention illustrating a cross-member engaged to a strut using a keeper.

FIG. 23 is an exploded view of an alternative embodiment of an implant assembly having a single, planar cross-member.

FIG. 24 is a partial sectional view of an alternative embodiment of an implant assembly of the present invention illustrating a cross-member engaged to a strut using a retaining ring.

FIG. 25 is an elevated end view of the implant assembly depicted in FIG. 23.

FIG. 26 is a schematic illustrating the selection of a bone portion from a cross-section of a femur.

DETAILED DESCRIPTION OF THE INVENTION

For the purposes of promoting an understanding of the principles of the invention, reference will now be made to the embodiments illustrated herein and specific language will be used to describe the same. It will nevertheless be understood that no limitation of the scope of the invention is thereby intended. Any alterations and further modifications in the described implants or methods, and any further applications of the principles of the invention as described herein, are contemplated as would normally occur to one skilled in the art to which the invention relates.

The present invention provides a spinal implant that can be assembled from individual bone-derived components. In one form, the individual components can be relatively small, and yet the assembled bone implant can be a larger structure exhibiting suitable strength to withstand the compression loading exerted by a spinal column. The components can be derived from donor bone, such as cortical allograft bone. In addition, the components can be formed as modular pieces having uniform size and shape to facilitate manufacture of the spinal implants. For example, the implant can be provided in selected dimensions and configurations to maintain disc height, correct lordosis, kyphosis or other spinal deformities. In other forms, the spinal implant provides a bone construct that can serve as reinforcing scaffolding for a variety of osteogenic materials to facilitate bone fusion. Thus, this invention provides efficient use of the precious donor bone material and yet allows for the manufacture of a wide variety of implant configurations.

FIG. 1 is a plan view of one embodiment of a spinal implant 10 for use in the present invention. Implant 10 defines a longitudinal axis 11 and includes a first strut 12, a second strut 14 spaced from first strut 12, and cross-member 16 extending therebetween. First strut 12 and second strut 14 are each positioned to lie in a plane substantially parallel to longitudinal axis 11. Implant 10 includes at least one additional cross-member 16A connecting first strut 12 and second strut 14. It is understood that in alternative embodiments implant 10 can have one or a plurality of cross-members connecting first strut 12 to second strut 14.

Referring additionally to FIG. 2, which is an exploded view of the implant assembly 10 depicted in FIG. 1, first strut 12 is illustrated as having a substantially rectangular configuration.

First strut 12 includes a first bone-engaging portion 20 and an opposite
5 second bone-engaging portion 22 spaced from the first bone-engaging portion 20 by a first distance illustrated by reference line 21, and which can be selected to be equal to D_1 . First bone-engaging portion 20 and second bone-engaging portion 22 can be provided to include anti-retropulsion structures 28. In the illustrated embodiment, first bone-engaging portion 20 is provided with a plurality of notches
10 28 formed therein. Notches 28 provide a mechanical interlock with the adjacent vertebral bone endplates and prevent retropulsion of the implant from the intervertebral space. First bone-engaging portion and/or second bone-engaging portion can also be configured with ridges, spikes, and the like to inhibit retropulsion from the disc space. Alternatively, first bone-engaging portion 20 and
15 second bone-engaging portion 22 can be provided as a substantially smooth or uniformly linear surface. It is understood by those skilled in the art that the exact configuration of first strut 12 can be provided in a variety of configurations, which can be selected to impart a desired corrective effect on a spinal column or portion thereof.

20 Second strut 14 can be provided substantially as described for first strut 12. Second strut 14 includes a third bone-engaging portion 24, an opposite fourth bone-engaging portion 26. Second strut 14 can include the same structural components as described for first strut 12, including anti-retropulsion structures 28. Third bone-engaging portion 24 is spaced from fourth bone-engaging portion 26 by
25 a second distance represented by reference line 23, which can be selected to be D_2 . In the illustrated embodiment for implant 10, D_1 is substantially equal to the D_2 . In alternative embodiments, the first distance does not have to equal the second D_2 . Thus, D_1 and D_2 individually can be selected to restore and/or maintain desired disc space height between adjacent vertebrae including cervical, thoracic and
30 lumbar vertebrae. Preferably, D_1 and D_2 are selected to range between about 5 mm and about 20 mm. More preferably, D_1 and D_2 are selected to range between about 10 mm and about 15 mm.

Second strut 14 is spaced from first strut 12. The distance second strut 14 is spaced from first strut 12 can be varied depending upon the desired use and/or desired site for implantation of the resulting implant assembly. For example, second strut 14 can be spaced from first strut 12 to provide a single implant assembly that is sized to substantially extend the lateral width of a disc space. Alternatively, implant 10 can be sized to allow the bi-lateral placement of a pair of implants within the disc space as illustrated in FIG. 7.

First strut 12 includes impact surface 25 positioned substantially orthogonal to longitudinal axis 11. The impact surface can be disposed between first bone-engaging portion 20 and second bone-engaging portion 22. Similarly second strut 14 includes impact surface 27 also positioned substantially orthogonal to longitudinal axis 11. Impact surfaces 25 and 27 can define a thickened wall portion of struts 12 and 14. Impact surfaces 25 and 27 are adapted to withstand impact forces necessary to drive implant 10 into a disc space. Further, impact surface 25 and 27 can be provided with a surface to facilitate driving implant 10 into a disc space. For example, surfaces 25 and 27 can be roughened, knurled or ridged to maintain static contact between an insertion tool (not shown) and implant 10.

First strut 12 terminates in a first end 32 and at an opposite second end 34. Similarly, second strut 14 terminates at a first end 33 and at an opposite second end 35. In one form, first ends 32 and 33 define impact surfaces 25 and 27, respectively. Further, first ends 32 and 33 are configured to include tool engaging apertures 30 to engage counterpart structures on implant holders and/or insertion tools (not shown). Apertures 30 can include a uniformly smooth bore, a tapered bore or, alternatively, a threaded bore portion. Preferably, tool-engaging portions 30 facilitate insertion of the implant into the disc space and inhibit lateral movement of the implant during insertion. In the illustrated embodiment, second ends 34 and 35 include curved surfaces 29, 31, respectively, to facilitate and ease insertion of implant 10 into the disc space.

In a preferred form, first strut 12 and second strut 14 can be provided as modular units that can be coupled via at least one cross-member. The modular units can be obtained by uniform cutting and machining of donor bone. Further,

the donor bone can be bone remnants obtained after manufacture of implant configurations as illustrated in FIG. 26 and discussed more fully below. This provides efficient use of precious donor bone resources. The modular units can be of preselected configuration and dimensions. Preferably struts 12 and 14 are
5 provided in configurations to facilitate bone fusion and provide correct lordosis, kyphosis and other spinal deformities.

In the illustrated embodiment, cross-member 16 and cross-member 16A extend from first strut 12 through interior area 19 to second strut 14. In alternative embodiments, cross-members 16 and/or, 16A can be engaged to first strut 12 and
10 second strut 14 about their respective periphery, for example, proximal to first ends 32 and 33 or to second ends 33 and 35. When cross-member 16 or 16A is positioned proximal to first ends 32 and 33, the cross-members can also be adjacent impact surfaces 25 and 27, respectively.

Cross-member 16 includes a proximal end 36, which is capable of engaging
15 first strut 12. In the illustrated embodiment, proximal end 36 engages in aperture 38 of first strut 12. Cross-member 16 also includes a distal end 37, which is capable of engaging with strut 14 via aperture 39. Similarly, cross-member 16A includes a proximal end 36A and a distal end 37A. Proximal end 36A is provided to engage first strut 12, and distal end 37A is provided to engage strut 14. Cross-
20 member 16 and second cross-member 16A are adapted to engage in first strut 12 and second strut 14 to provide an assembled implant that is capable of withstanding the shear and impact forces needed to drive the implant assembly into the intervertebral space. Cross-member 16 is illustrated as a cylindrical rod. In alternative forms, cross-member 16 can be configured as a shaft having a square,
25 rectangular, triangular or ovoid cross-section. Further, it will be understood by those skilled in the art that cross-members 16 and 16A are adapted to engage a first and second strut 12 and 14, respectively, in a variety of configurations; which are discussed in more detail below.

Implant 10 can be configured as an open cage vertebral implant. The open
30 cage vertebral implant provides a depot for receipt of an osteoconductive/inductive filler. Further, when the implant includes a filler and is sized to be received within a prepared disc space, implant 10 provides structural support to maintain disc

height yet allow broad surface area and intimate contact between the filler and bone tissue of the vertebral end plates.

FIGS. 3 and 4 are illustrations of implant assembly 40 including an osteogenic material 48. Implant assembly 40 includes a first strut 42, second strut 44 and cross-members 46 and 47. First strut 42 and second strut 44 define an interior space 49 therebetween. First strut 42 includes a first bone-engaging portion 50, an opposite bone-engaging portion 52, and a first impact surface 55 therebetween. Similarly, second strut 44 includes a third bone-engaging portion 54, an opposite fourth bone-engaging portion 56, and a second impact surface 57 therebetween. First strut 42 and second strut 44 include anti-retropulsion structures 58 formed therein. In the illustrated embodiment, osteogenic material 48 is deposited between a first strut 42 and second strut 44, substantially filling the entire interior space 49. The osteogenic material 48 can be provided as a moldable material that adheres to the scaffolding defined by struts 42, 44 and optionally cross-members 46 and 47. Osteogenic material 48 also can be provided in sufficient amounts and in a form to extend beyond first and third bone-engaging portions 50 and 54, respectively, and/or second and fourth bone-engaging portions 52 and 56, respectively. Preferably the compressed osteogenic material can bear a portion of the load exerted on the implant assembly 40 by the spinal column. In alternative embodiments, first strut 42 and second strut 44 can be substantially surrounded or embedded in an osteogenic material so the osteogenic material substantially surrounds struts 42 and 44.

First strut 42 includes a first end 60 and an opposite second end 62. First bone-engaging portion 50 is spaced from second bone-engaging portion 52 proximate to first end 60 by first distance illustrated by reference line 41, which can be selected to equal D_1 . Similarly, first bone-engaging portion 50 is separated from second bone-engaging portion 52 proximal to second end 62 by a third distance, which can be selected to equal D_3 (not shown). Second strut 44 includes a first end 64 and an opposite second end 66. Third bone-engaging portion 54 is separated from fourth bone-engaging portion 56 proximal to first end 64 by a second distance illustrated by reference line 45, which can be selected to equal D_2 . Similarly, third bone-engaging portion 54 is separated from fourth bone-engaging

portion 56 proximal to second end 66 by a fourth distance illustrated by reference line 67, which can be selected to equal D_4 . The values for D_1 , D_2 , D_2 and D_4 can be individually selected to provide an implant assembly capable of achieving a desired orthopedic result.

5 In one form, first strut 42 has a height adjacent to first end 60 defined by distance D_1 and a height adjacent to second end 62 defined by D_3 . Preferably, but not required, when so provided, first strut 12 tapers uniformly from the height D_1 adjacent first end 60 to the height D_3 adjacent second end 62. Similarly, second
10 strut 44 can be configured to have a height adjacent to first end 64 defined by D_2 and a height adjacent to second end 66 defined by D_4 smaller than D_2 . Second strut can also be provided to uniformly taper in height from first end 64 to second end 66. Further in selected forms D_2 can be selected to be less than D_1 , and D_4 can be selected to be less than D_3 .

FIG. 5 is a perspective view of an implant assembly 70. Implant assembly
15 70 is illustrated as an elongate spacer defining a longitudinal axis 71 and including a first strut 72, a second strut 74 and an osteogenic material 76 interposed therebetween. First strut 72 includes a first bone-engaging portion 73, an opposite second bone-engaging portion 75, and a first impact surface 77 positioned therebetween. Second strut 74 includes a third bone-engaging portion 78 and an
20 opposite fourth bone-engaging portion 79 and impact surface 84 disposed therebetween. Opposite bone-engaging portions extend from a first end 85 to a second end 86 on strut 74. First bone-engaging portion 73 includes an arcuate edge 80 extending substantially parallel to longitudinal axis 71, and third bone-engaging portion 78 includes second arcuate edge 81 extending substantially
25 parallel to longitudinal axis 71. Similarly, second bone-engaging portion 75 and fourth bone-engaging portion 79 also, but are not required to, include arcuate edges (not shown). The first and second struts include pairs of opposite bone-engaging portions, 73, 75, 78 and 79 that are separated from each other at a maximum distance at a point between the first and second ends of the respective struts.

30 FIG. 6 is an anterior view of adjacent vertebrae illustrating the bi-lateral placement of a pair of implants according to the present invention. Implants 90A and 90B are inserted substantially parallel to each other into an intervertebral space 100.

Referring to implant 90A, it can be seen that first strut 92 includes first bone-engaging portion 110 which bears against vertebral endplate 103 and an opposite bone-engaging portion 112 bearing against second vertebral endplate 105 and a first end 96 extending therebetween. Implant 90A also includes second strut 94, similar to first
5 strut 92, includes a third bone-engaging portion 114 and an opposite fourth bone-engaging portion 116 and a first end 98 extending therebetween. Each bone-engaging portion 114 and 116, respectively, bear against respective vertebral endplates 105 and 103. An osteogenic material 118 is interposed between first strut 92 and second strut 94. Osteogenic material 118 bears against first and second endplates 103 and 105.

10 Implant 90A includes a first impact surface 89 formed in first end 96 disposed between first bone-engaging portion 110 and an opposite bone-engaging portion 112. Second strut 94 includes a second impact surface 91 formed in first end 98 disposed between third bone-engaging portion 114 and an opposite fourth bone-engaging portion 116. Impact surfaces 89 and 91 are provided to withstand the shear and force
15 necessary to impact the respective implants into the disc space. For example impact surfaces 89 and 91 can defined thicken portions on first strut 92 and second strut 94. In preferred embodiments, impact surfaces 89 and 91 have sufficient thickness to withstand the impact force necessary to drive implant 90A into a intervertebral space with loss of integrity or deforming the molded implant configuration depicted by
20 implant 90A including osteogenic material interposed between first and second strut 92 and 94. Impact surfaces 89 and 91 can define tool engaging apertures 93 and 95, respectively. It is understood by those skilled in the art that in addition to, or in place of, apertures 93 and 95, a variety of tool engaging structures can included in implant 90A, for example, slots, recessed areas, projections and additional apertures.

25 It can be seen from the illustrated embodiment that the bi-lateral placement of implants 90A and 90B can define an interior region 120. In preferred embodiments, interior region 120 can also be packed with a filler, such as an osteogenic material (not shown). The osteogenic material that is implanted into interior pocket 120 can be the same or can be different from the osteogenic material 118 provided or interposed
30 between first and second struts 92 and 94. Similarly, after complete discectomy and appropriate bi-lateral placement of implants 90A and 90B, additional cavities 122 and 124 lateral of respective implants 90A and 90B can be provided to receive additional

osteogenic material. This additional osteogenic material may be the same or may be different from the osteogenic material 118 interposed between first strut 92 and second strut 94.

FIG. 7 is a top view looking down on the superior endplate of vertebra 102 and implants 90A and 90B depicted in FIG. 6. Implant 90A is inserted from an anterior approach. First strut 92 has a second end 97 and second strut 94 has a second end 99, and each can include curved portions to ease the impact force necessary to embed the implant in the intervertebral space. Thus, first ends 96 and 98 are positioned anteriorly on vertebra 102, and second ends 97 and 99 are positioned posteriorly on vertebra 102. In alternative embodiments, when implants 90A and 90B are inserted from the posterior direction, second ends 97 and 98 can be provided similarly as discussed for first ends 96 and 98 to ease insertion of the implant in the anterior direction.

FIGS. 8 and 9 illustrate an alternative embodiment of an assembled implant according to the present invention. Implant 130 includes a first strut 132, a second strut 134 spaced from the first strut 132, and a third strut 136 spaced from the second strut 132. As with the previous implants 10, 40, 60 and 90A and 90B, each of the struts 132, 134, and 136 of implant assembly 130 include a pair of bone-engaging portions opposite each other and impact surfaces disposed between the opposite bone-engaging portions. Further, implant 130 includes a first cross-member 140 and a second cross-member 142 shown in dashed lines extending from strut 132 to strut 136. Cross-member 140 and/or cross-member 142 can be an integral cross-member extending from strut 132 through strut 134 and to strut 136. Alternatively, cross-member 140 and/or 142 can be an assembly of one or more cross-member sections. The cross-member sections can be substantially co-linear through implant 130. Alternatively, cross-member sections can be offset from each other as they extend from first strut 132, second strut 134 and to third strut 136. Implant 130 also includes a first internal area 144 defined by first strut 132 and second strut 134 and a second internal area 146 defined by second strut 134 and third strut 136. In preferred embodiments, internal areas 144 and 146 are filled with an osteogenic material 145. Preferably, this osteogenic material 145 is provided to adhere to the first strut, second

strut, and/or third strut and cross-members 140 and 142. The osteogenic material will be discussed in more detail below.

In the illustrated embodiment, first strut 132, second strut 134, third strut 136 each include a first end 133, 135 and 137, respectively. Each first end 133, 135 and 137 defines respective impact surfaces 139, 141 and 143, respectively. First ends 133, 135 and 137 include one or more tool-engaging structures shown as apertures 138A, 138B and 138C. In alternative embodiments, implant 130 is not required to include either or both impact surfaces or tool-engaging structures on each strut. Therefore, implant 130 can include an impact surface and/or a tool-engaging structure in either of first strut 132, second strut 134 and/or third strut 136. Preferably, at least two struts, for example, first strut 132 and third strut 137, include impact surfaces and/or tool-engaging apertures.

In preferred embodiments, implant 130 is configured to be received within the intervertebral space on adjacent vertebrae. Thus, implant 130 can be provided in a variety of sizes, each size configured to be inserted between a specific pair of adjacent vertebrae, for example, cervical, thoracic, or lumbar vertebrae. Furthermore, the implant assembly 130 can be provided so that the distance between first strut 132 and third strut 136, which is indicated by reference line 148, can be selected to equal D_5 . The value for D_5 can be selected to place a portion of first strut 132 and third strut 136 on the peripheral ring structure apophysis of adjacent vertebrae.

FIG. 10 is an illustration of still another embodiment of an implant assembly according to the present invention. Implant assembly 160, similar to implant assembly 130, includes three struts 162, 164 and 166. Struts 162, 164 and 166 define internal areas 174 and 176. An osteogenic material 178 can be received within internal areas 174 and 176. Furthermore, an osteogenic material 178 can be interposed between struts 162 and 164, and 164 and 166; in addition, osteogenic material 178 substantially encompasses first strut 162, second strut 164 and third strut 166. Thus, the respective struts can be substantially encased in the osteogenic material 178. In preferred embodiments, a portion of the first ends 163, 165 and 167 are available to engage an insertion tool. Therefore, in one embodiment, first ends 163, 165 and 167 extend beyond osteogenic material 178 to be available for attachment to an insertion tool (not shown).

FIG. 11 is a perspective illustration of another embodiment of a lordotic implant assembly according to the present invention. Implant assembly 190 defines a longitudinal axis 191 and includes a first strut 192, a second strut 194 and a third strut 196. Each of the respective struts 192, 194 and 196 include pairs of opposite bone-engaging portions 210 and 212, 214 and 216, and 218 and 220, respectively.

First strut 192, second strut 194, and third strut 196 can be provided as modular components for the implant assembly. Thus, the struts 192, 194 and 196 are provided in substantially the same configuration and dimensions. Therefore, opposing bone-engaging surfaces 210, 212, 214, 216, 218 and 220 on each respective strut are separated by the same distance. Further, each strut 192, 194 and 196 can be provided to correct lordotic deformities. For example, third strut 196 has a first end 224 and a second end 228. Adjacent to first end 224, first bone-engaging portion 218 and second bone-engaging portion 220 are separated by a distance represented by reference line 222, which is selected to equal D_1 . At second end 228, first bone-engaging portion 218 is separated from second bone-engaging portion 220 by a distance represented by reference line 226, which is selected to equal D_3 . Distance D_1 can be selected to be greater than D_3 . In preferred embodiments, implant assembly 190 is provided to restore disc height and correct spinal lordosis.

FIG. 12 is an illustration of one embodiment of a domed implant assembly according to the present invention. The implant assembly 240 defines a longitudinal axis 241. Implant assembly 240 includes a first strut 242, a second strut 244 and a third strut 246 extending in a direction substantially parallel to longitudinal axis 241. In the illustrated embodiment, first strut 242 and third strut 246 provide mirror images of each other. First strut 242 and third strut 246 are selected to have a first height transverse to longitudinal axis 241 and illustrated by reference line 245. Preferably the first height is selected to provide and maintain desired disc space height. Second strut 244 is provided to have a second height transverse to longitudinal axis 241 illustrated by reference line 243. In the illustrated embodiments, the first height is selected to be less than the second height. Preferably the first height is between about 5 mm and about 20 mm; more preferably, between about 10 mm to about 15 mm. The second height can be selected to be between about 5 mm and about 25 mm; more preferably between about 10 mm and about 20 mm.

As with the above-described implant assemblies, in implant 240, each strut 242, 244 and 246 includes opposite pairs of bone-engaging surfaces. Further, the bone-engaging surfaces can be provided substantially as described for the bone-engaging surfaces of the above implants 10, 40, 70, 90A, and 130.

5 Referring additionally to FIG. 13, which illustrates the insertion of implant 250 between adjacent vertebrae 252 and 254, it can readily be seen that struts 242, 244, and 246 engage in opposing endplates 256 and 258 of vertebral bodies 252 and 254, respectively. First strut 242, second strut 244, and third strut 246 are illustrated as extending through vertebral endplates 256, 258 and into the cancellous bone tissue. It
10 is also considered to be within the scope of the present invention to provide first strut 242, second strut 244, and third strut 246, which can be adapted to bear against opposing cortical bone endplates 256 and 258.

Implant 240 has a width transverse to longitudinal axis 241 and illustrated by reference line 247. Preferably the width is selected so implant 120 extends laterally
15 across the end plates of opposing vertebrae so a portion of first strut 242 and a portion of third strut 246 engage the peripheral ring apophysis of the vertebrae.

FIGS. 14-16 illustrate an alternative embodiment of an implant assembly according to the present invention. Implant assembly 260 includes at least a first strut 262 and a second strut 264 substantially orthogonal to each other. Each strut 262 and
20 264 includes first bone-engaging portions 266 and 268, respectively, and opposite second bone-engaging portions 270 and 272, respectively. A moldable osteogenic material 271 substantially encases struts 262 and 264.

In the illustrated embodiments, first strut 262 and 264 are adapted to matingly interengage each other, as illustrated in FIG. 16. First strut 262 includes a slot 274
25 extending from second bone-engaging portion 272. Slot 274 includes a first internal wall 276, an opposing second internal wall 278 and an end wall 280 disposed therebetween. Similarly, second strut 264 includes a slot 290 extending from first bone-engaging portion 268. Slot 290 includes a first internal wall 282, an opposing second internal wall 284 and end wall 286 disposed therebetween. When assembled,
30 slot 280 of first strut 262 receives a portion of second strut 264. Concomitantly, second slot 290 and second strut 264 receive a portion of first strut 262.

First strut 262 and second strut 264 can be obtained from slices of cortical bone and exhibit a substantially rectangular shape having a height defined by opposite bone-engaging portions 266, 272 and 268, 278 of between about 5 mm to about 80 mm. In one form, implant assembly 260 can be sized to be inserted in a disc space between adjacent vertebrae. The height of first strut 262 and second strut 264 can be selected to be between about 5 mm and about 20 mm. In another form, implant assembly 260 can be sized to replace a vertebral body. When thus configured first strut 262 and second strut 264 can have a height selected between about 50 mm and 80 mm.

First strut 262 and second strut 264 interengage to provide an upper surface 265 and a lower surface 267 that are substantially planar. Alternatively, assembled implant 260 can be adapted to exhibit an upper surface 265 and lower surface that matingly engage and bear against the opposing endplates of vertebrae adjacent the implantation site. For example in alternative forms, implant 260 can exhibit a domed upper surface 265 and/or lower surface 267 configured similar to the upper and lower surfaces of implant 240. Still further, implant 260 can exhibit a lordotic configuration as illustrated for implant 290. Implant 260 can also be described as providing a substantially cylindrical implant configuration. However, it is considered to be within the scope of this invention to provide implant 260 in a wide variety of configurations. Preferably implant 260 is provided in a shape resembling the nucleus pulposa. Other exemplary configurations include spherical, ovoidal, frustoconical, and kidney shaped.

FIGS. 17-19 illustrate another embodiment of an implant assembly according to the present invention. Implant assembly 300 includes a first bone-derived strut 302 and a second bone-derived strut 304. Each of first strut 302 and second strut 304 includes pairs of opposite bone-engaging surfaces, 307, 309 and 311, 213, respectively. Implant 300 also includes at least one, preferably two, cross-members 306 extending between strut 302 and strut 304. An osteogenic material 310 is interposed between first strut 302 and second strut 304.

First strut 302 and second strut 304 each define a curved wall 312 and 314, respectively. Curved wall includes a first inner wall portion 316, which can be provided as a concave surface and an outer wall portion 318, which can be provided

as a convex surface. Similarly, second curved wall portion strut 314 can also include an inner wall portion 320, provided as a concave surface and an outer wall portion 322 provided as a convex wall portion. In selected configurations, first and second struts 302 and 304 can be obtained from the diaphysis of long bones such that the
5 respective concave surface portions are derived from and/or approximate the medullary canal. Further, first and second struts 302 and 304 can be provided with tool-engaging apertures 303 and 305, respectively. Implant 300 can be provided in a variety of dimensions for use in the intervertebral space between adjacent vertebrae including cervical vertebrae, thoracic vertebrae, and lumbar vertebrae. Preferably,
10 first strut 302 and second strut 304 are spaced a distance from each other so that opposite pairs of bone-engaging surfaces 307, 309 and 311, 213 bear against the peripheral ring apophysis of the adjacent vertebral bodies, as illustrated in FIG. 19.

As has been disclosed above, the illustrated embodiments of the implants 10, 40, 70, 90A, 90B, 130, 160, 190, 240, 260, and 300 include cross-members. The
15 cross-members are adapted to engage the respective first and second struts of the respective implants. The cross-members can be, but are not required to be, secured to opposing struts with an adhesive. Examples of additional engaging portions and methods of securing the cross-members to opposing struts are illustrated in FIGS. 20-23.

20 In FIG. 20, cross-member 340 engages a groove such as dovetail slot 342 formed in a first wall surface 343 of strut 344. In the illustrated embodiment, a dovetail portion 346 matingly engages in the dovetail slot 342. For selected implant assemblies, for example, implant assemblies 130, 160, 190 and 240, strut 342 can include a second dovetail slot formed on the opposite wall surface. When thus
25 provided, strut 342 can engage in two or more struts that can extend through the implant assemblies. FIG. 21 illustrates an alternative embodiment for the engagement of an illustrative cross-member 350 with a strut 352. Cross-member 350 includes an end portion 354 that engages in an aperture 356 formed in strut 352. In the illustrated embodiment, end portion 354 includes external threads 355 provided to threadedly
30 engage in a threaded portion 357 of aperture 356.

FIG. 22 illustrates another embodiment for an illustrative cross-member 360 to engage in a strut 362. An end portion 364 of cross-member 360 extends through an

aperture 366 formed in strut 362. A retaining pin is received through a second opening 380 formed substantially orthogonal to aperture 366 in strut 362 and engages in end portion 364 of cross-member 360 to inhibit withdrawal of the end portion 364 from aperture 366.

5 FIG. 23 illustrates a cross-member configured as a single substantially planar structure. Cross-member 368 includes a tongue 369 adapted to be matingly received within a groove 373 formed in a first strut 371. A second strut 375 can provide a second groove 377 to receive a second tongue on cross-member 368 opposite tongue 369.

10 FIGS. 24 and 25 illustrate still another embodiment of an engagement of a cross-member 370 with a strut 372. End portion 374 of cross-member 370 is received within an aperture 376 formed in strut 372. A retaining ring 378 matingly engages in a groove 380 formed in end portion 374 that extends beyond strut 372. This effectively inhibits withdrawal of cross-member 370 from aperture 376 of strut 372.

15 Referring now to FIG. 26, there is illustrated a schematic of a bone slice 400 cut from a long bone, such as a tibia bone or a femur bone. Bone slice 400 can be cut along reference lines 404 and/or 406 to provide a variety of bone-derived implants. For example, bone slice 400 can be divided into a central section 402, which can be used as an integrally formed implant potentially for insertion into adjacent vertebral
20 bodies. Examples of integrally formed implants are described in U.S. Patent Nos. 5,972,368 issued to McKay and in 5,033,438 issued to Bianchi. Bone portions 408 and 410, which remain after harvesting central portion 402, can be used to provide a first, second or third strut for the implant assemblies according to the present invention. Furthermore, bone portions 408 and/or 410 can be further partitioned to
25 provide cross-members to extend from the first strut to a second strut or from a second strut to a third strut. It will also be observed that the illustrated bone portions 408 and 410 define first ends 412 and 414, respectively, that are thicker than the opposite second ends 416 and 418, respectively.

30 The osteogenic material is selected to adhere to the implant assembly. Further, osteogenic material preferably includes as a demineralized bone matrix and optionally a carrier, such as a gelatin substance. The demineralized bone matrix can be provided in the form of a powder, paste or gel. When provided as a powder, the osteogenic

material can be reconstituted with sterile water, saline, glycerin or other physiological solutions. The reconstituted material is molded about the implant assembly. An osteogenic material can be applied to the implant assembly by the surgeon during surgery or the spacer assembly may be supplied with the composition pre-applied. In such cases, the osteogenic composition may be stabilized for transport and storage. Preferably the osteogenic material is provided as a putty that can be retained in and about the implant assembly. The osteogenic putty is a moldable, flowable material that sets up to a semi-rigid form at about body temperature. The implant assembly with the osteogenic material is then inserted into a prepared disc space. The osteogenic material can also include a reinforcement such as bone chips, preferably cortical bone chips. Examples of osteogenic material suitable for use with this invention include, but are not limited to: OSTEOFIL, which is commercially available from Regeneration Technologies, Inc. of Alachua, Florida; GRAFTON CRUNCH available from Osteotech of Eatontown, NJ and ALLOMATRIX, available from Allosource of Denver, Colorado.

The term osteogenic composition used here means virtually any osteoconductive or osteoinductive material that promotes bone growth or healing including natural, synthetic and recombinant proteins, hormones and the like. The osteogenic materials used in this invention preferably comprise a therapeutically effective amount of a bone inductive factor such as a bone morphogenetic protein in a pharmaceutically acceptable carrier. Examples of factors include recombinant human bone morphogenetic proteins (rhBMPs) rhBMP-2, rhBMP-4 and heterodimers thereof. However, any bone morphogenetic protein is contemplated including bone morphogenetic proteins designated as BMP-1 through BMP-13, which are available from Genetics Institute, Inc., Cambridge, Mass. All osteoinductive factors are contemplated whether obtained as above or isolated from bone.

The present invention contemplates modifications of the implant and methods as would occur to those skilled in the art. It is also contemplated that processes embodied in the present invention can be altered, rearranged, substituted, deleted, duplicated, combined, or added to other processes as would occur to those skilled in the art without departing from the spirit of the present invention. Further

it is understood that above described bone-derived components are individual modular units that can be combined and/or assembled into additional configurations not specific illustrated in the figures included herewith. All of the individual bone-derived components can be provided in the varying sizes and
5 include any of the specific surface features and structures associated with the embodiments illustrated or described herein. In addition, the various stages, steps, procedures, techniques, phases, and operations within these processes may be altered, rearranged, substituted, deleted, duplicated, or combined as would occur to those skilled in the art. All publications, patents, and patent applications cited in
10 this specification are herein incorporated by reference as if each individual publication, patent, or patent application was specifically and individually indicated to be incorporated by reference and set forth in its entirety herein.

Further, any theory of operation, proof, or finding stated herein is meant to further enhance understanding of the present invention and is not intended to make
15 the scope of the present invention dependent upon such theory, proof, or finding.

While the invention has been illustrated and described in detail in the drawings and foregoing description, the same is considered to be illustrative and not restrictive in character, it is understood that only the preferred embodiments have been shown and described and that all changes and modifications that come within the spirit of the
20 invention are desired to be protected.

What is claimed is:

1. An implant for promoting bone fusion between adjacent vertebral bodies, said implant having a longitudinal axis and comprising an assembly of cortical bone-derived components, said components comprising:
 - a first strut having a first bone-engaging portion, an opposite second bone-engaging portion and a first impact surface disposed therebetween in a plane positioned substantially orthogonal to the longitudinal axis;
 - a second strut spaced from said first strut, said second strut having a third bone-engaging portion, an opposite fourth bone-engaging portion and a second impact surface disposed therebetween in a plane positioned substantially orthogonal to the longitudinal axis, said second strut in cooperation with said first strut defining an internal space;
 - an elongate cross-member provided to extend from the first strut to the second strut; and
 - an osteogenic material interposed between the first and second strut, said osteogenic material provided to be adherent to at least one of the first strut, the second strut and the cross-member and adapted to be moldable to provide a semi-rigid shaped component.
2. The implant of claim 1 wherein the osteogenic material includes cancellous bone particles.
3. The implant of claim 1 wherein the osteogenic material hardens at a temperature between about 35°C and about 40°C.
4. The implant of claim 1 wherein the osteogenic material is capable of supporting a portion of a compressive load exerted by adjacent vertebral bodies without significant deformation of the shaped component when said implant is received within the intervertebral space.

5. The implant of claim 1 wherein each of said first and second impact surfaces include tool engaging apertures.

6. The implant of claim 1 wherein the cross-member is positioned to
5 lie transverse to the longitudinal axis and extend through the interior space.

7. The implant of claim 1 wherein said first strut and said second strut are adapted to minimize stress shielding of the osteogenic material when the implant assemble is received within the intervertebral space.
10

8. The implant of claim 1 wherein the first, second, third and fourth bone-engaging portions include anti-expulsion structures.

9. The implant of claim 1 wherein the first and second struts are sized
15 to provide an implant suitable to be implanted between opposing endplates of adjacent lumbar vertebrae.

10. The implant of claim 1 wherein the first and second struts are sized to provide an implant suitable to be implanted between opposing endplates of
20 adjacent cervical vertebrae or adjacent thoracic vertebrae.

11. Th implant of claim 1 wherein the first strut and said second strut each have a height, transverse to the longitudinal direction, between about 5 mm and about 20 mm.
25

12. The implant of claim 1 wherein the first strut and the second strut are adapted to engage in opposing vertebral endplates and facilitate lordosis.

13. The implant of claim 1 wherein the first bone-engaging portion, the
30 second bone-engaging portion, the third bone-engaging portion and the fourth bone-engaging portion each define arcuate surfaces.

14. The implant of claim 1 wherein the first bone-engaging portion is separated from the second bone-engaging portion by a distance D_1 and the third bone-engaging portion is separated from the fourth bone-engaging portion by a distance D_2 less than D_1 .

5

15. The implant of claim 14 wherein D_1 is between about 5 mm and about 20 mm.

16. The implant of claim 1 wherein the first strut has an first end portion positioned to lie substantially orthogonal to the first bone-engaging portion and having a height D_1 transverse to the longitudinal axis, and second end portion opposite the first end portion and having a height D_3 transverse to the longitudinal axis, wherein D_1 is greater than D_3 .

17. The implant of claim 16 wherein the second strut has an first end portion positioned to lie substantially orthogonal to the third bone-engaging portion and having a height D_2 transverse to the longitudinal axis, and second end portion opposite the second end portion and having a height D_4 transverse to the longitudinal axis, wherein D_2 is greater than D_4 .

20

18. The implant of claim 17 wherein D_1 is greater than D_2 and D_3 is greater than D_4 .

19. The implant of claim 1 wherein the first strut defines a substantially planar first wall portion and the second strut defines a substantially planar second wall portion positioned to lie substantially parallel to said first wall portion.

20. The implant of claim 1 wherein the first strut defines a first wall portion having a concave first surface and an opposite convex second surface and the second strut defines a second curved wall portion having a concave third surface opposing said first concave surface and an opposite convex fourth surface.

30

21. The implant of claim 20 wherein at least a portion of the first concave surface of the first strut and the third concave surface of the second strut each approximates a section of the medullary canal from a long bone.

5 22. The implant of claim 20 wherein the first, and third bone-engaging portions and the second and fourth bone-engaging portions respectively are adapted to bear against the apophysis of opposing vertebral endplates of adjacent vertebrae.

10 23. The implant of claim 1 wherein the first strut includes a first channel formed therein and positioned to lie substantially parallel to the longitudinal axis, said first channel adapted to slidably receive a portion of a proximal end of the cross-member and the second strut includes a second channel positioned to lie substantially parallel to the longitudinal axis, said second channel
15 adapted to slidably receive a portion of a distal end of the cross-member.

24. The implant of claim 23 wherein the cross-member comprises a cylindrical shaft extending from the first strut to the second strut.

20 25. The implant of claim 23 wherein the cross-member defines a substantially planar member extending from the first strut to the second strut.

26. The implant of claim 1 wherein the first strut includes an aperture and the cross member includes a proximal end provided to be matingly received
25 within said aperture.

27. The implant of claim 26 wherein the aperture includes an internally threaded portion and the proximal end of the cross-member includes external screw threads to threadedly engage said internally threaded portion.

30

28. The implant of claim 26 wherein the first strut includes a first inner surface and a second outer surface and said aperture extends through said first

portion through said inner surface and said outer surface and a portion of the distal end of the cross-member extends past said outer surface and is adapted to receive a retaining ring to inhibit withdrawal of the distal end from the aperture.

5 29. The implant of claim 1 comprising a third strut spaced from said second strut in a direction transverse to said longitudinal axis and attached to said second strut with a cross-member.

10 30. The implant of claim 1 including at least one additional cross-member extending from the first strut to the second strut and positioned to lie in the interior space.

15 31. The implant of claim 1 wherein the first strut and the second strut are substantially embedded in a moldable osteogenic material.

 32. An implant for promoting bone fusion, said implant comprising an assembly of cortical bone-derived components said components comprising:

 a first strut having a first bone-engaging portion and a opposite second bone-engaging portion, said first strut having first slot formed therein extending
20 from said first bone-engaging portion, said first slot having a first internal wall portion, an opposing second internal wall portion and an internal end wall portion therebetween; and

 a second strut having a third bone-engaging portion and a opposite fourth bone-engaging portion, said second strut having second slot formed therein
25 extending from said fourth bone-engaging portion, said second slot having a first internal wall portion, an opposing second internal wall portion and an internal end wall portion therebetween; wherein said first slot is adapted to receive a portion of the second strut and said second slot adapted to receive a portion of the first slot to interengage said first and second strut.

30 33. The implant of claim 32 comprising a moldable osteogenic material contacting said first and second struts.

34. The implant of claim 32 wherein the first strut defines a first substantially planar first surface and the second strut defines a second substantially planar surface intersecting the first planar surface at an angle between about 45°
5 and about 135°.

35. The implant of claim 32 wherein the first strut and the second strut are sized to be received within a disc space between adjacent vertebrae.

10 36. The implant of claim 32 wherein the first strut and second strut are sized to replace a selected vertebra.

37. A method of treating a spinal deformity, said method comprising; surgically preparing an intervertebral space between endplates of adjacent
15 vertebrae; and

impacting an implant comprising an assembly of cortical bone-derived components said components comprising:

a first strut having a first bone-engaging portion, an opposite second bone-engaging portion and a first impact surface disposed therebetween,

20 a second strut spaced from said first strut, said second strut having a third bone-engaging portion, an opposite fourth bone-engaging portion, and a second impact surface disposed therebetween, said second strut in cooperation with said first strut defining an internal space, and

25 a cross-member extending from the first strut to the second strut into the intervertebral space.

38. The method of claim 37 comprising impacting a second implant adjacent to the first implant in the intervertebral space.

30 39. The method of claim 37 wherein said surgically preparing an intervertebral space includes performing a discectomy.

40. The method of claim 37 comprising providing osteogenic material in the internal space of the implant.

5 41. A method of treating a spinal deformity, said method comprising; surgically preparing a patent to receive a spinal implant; and impacting an implant comprising an assembly of cortical bone-derived components said components comprising:

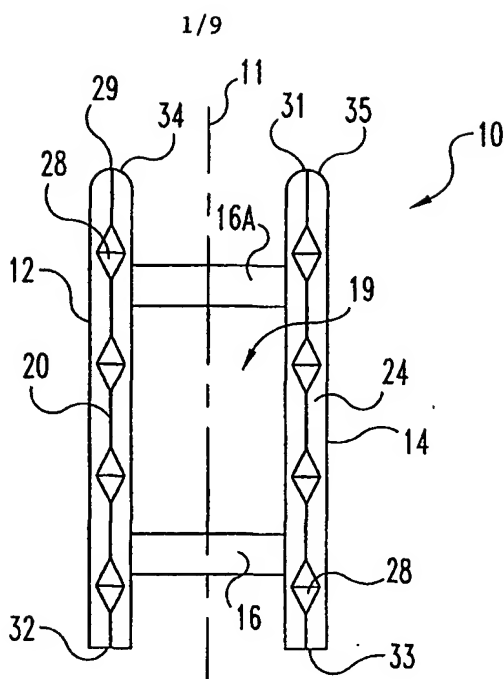
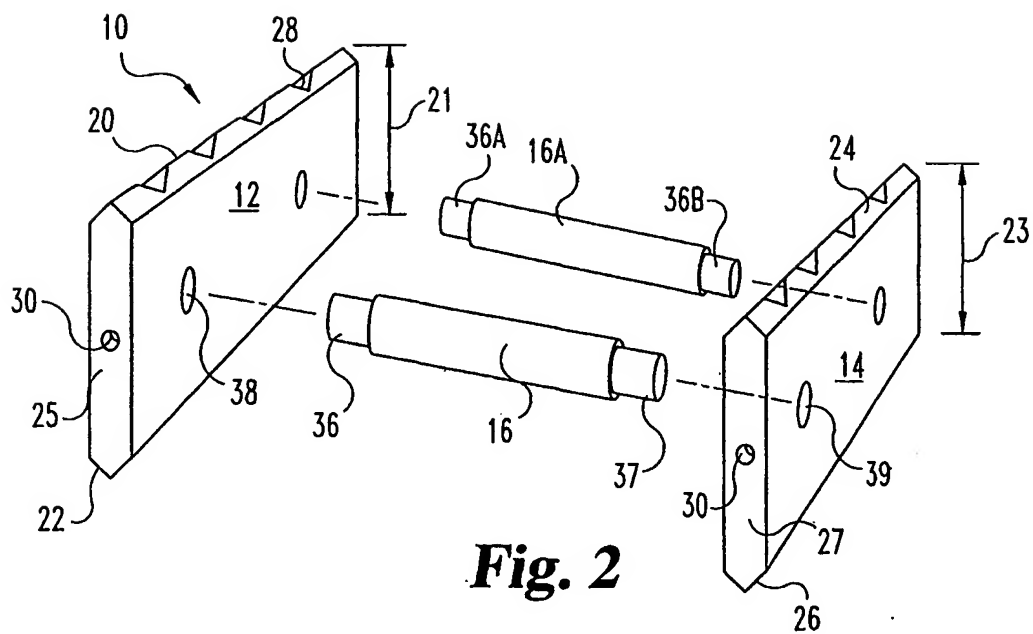
 a first strut having a first bone-engaging portion and a opposite second
10 bone-engaging portion, said first strut having first slot formed therein, and
 a second strut having a third bone-engaging portion and a opposite fourth bone-engaging portion, said second strut interengaging said first strut to form the implant.

15 42. The method of claim 41 wherein said surgically preparing a patent to receive an implant includes performing a discectomy.

 43. The method of claim 41 wherein said surgically preparing a patent to receive an implant includes removing a vertebra.

20

 44. The method of claim 41 comprising providing an osteogenic material with the implant.

**Fig. 1****Fig. 2**

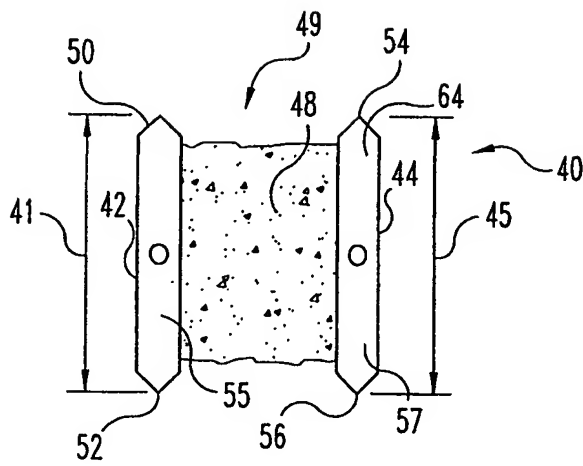


Fig. 3

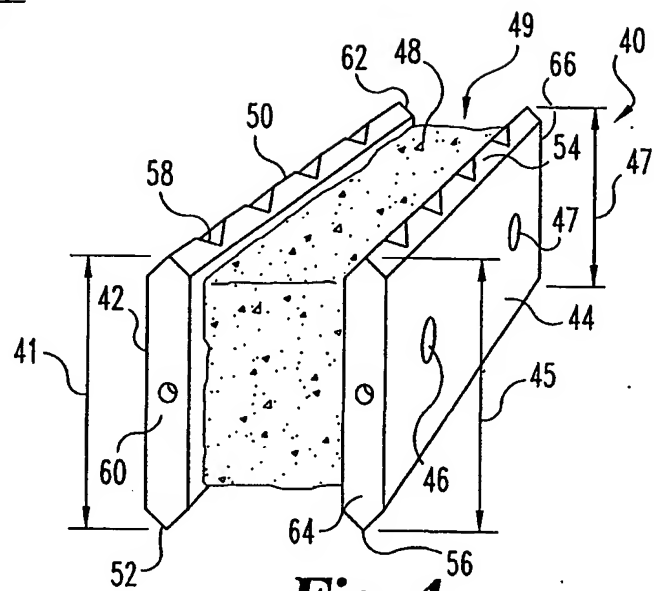


Fig. 4

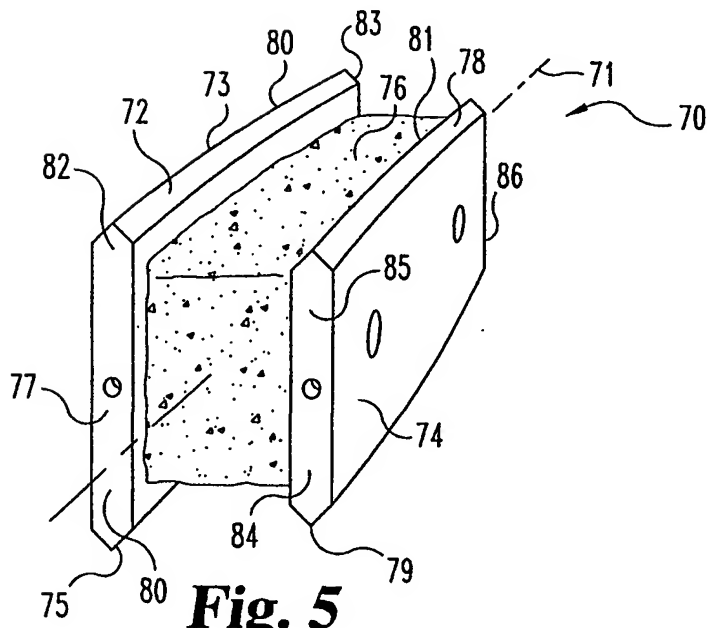


Fig. 5

3/9

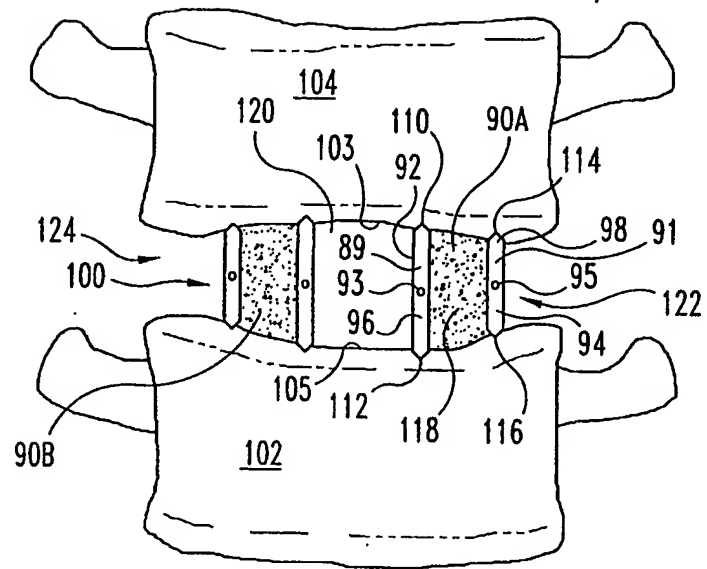
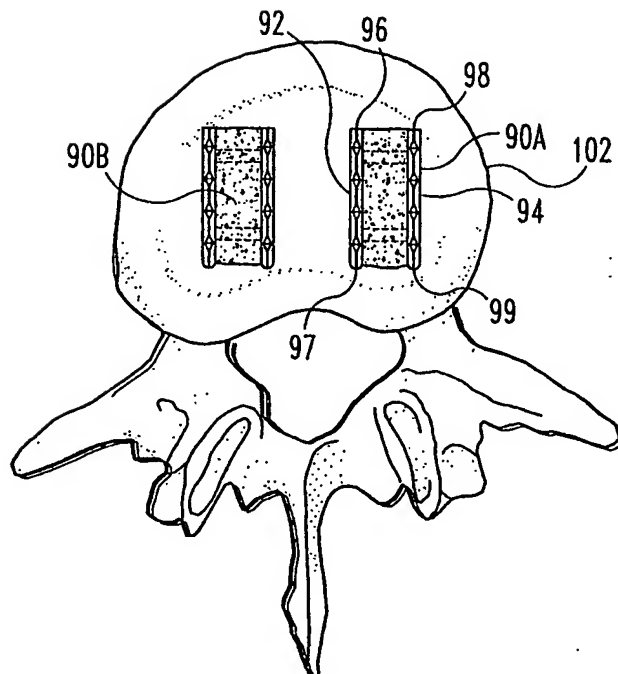


Fig. 6

Fig. 7



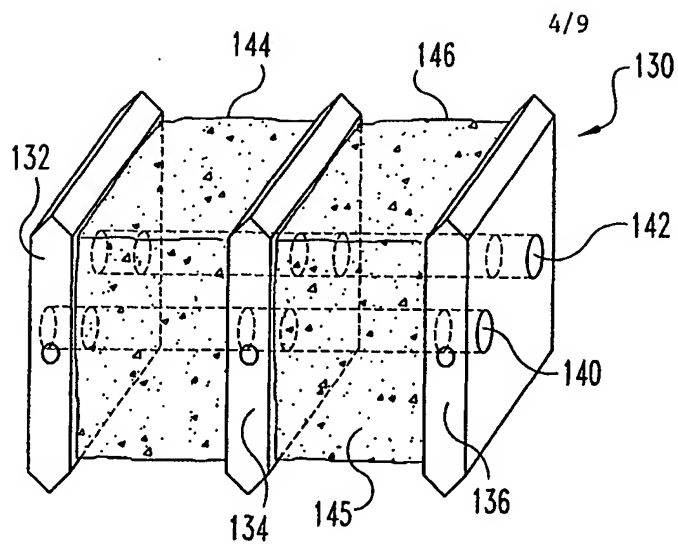


Fig. 8

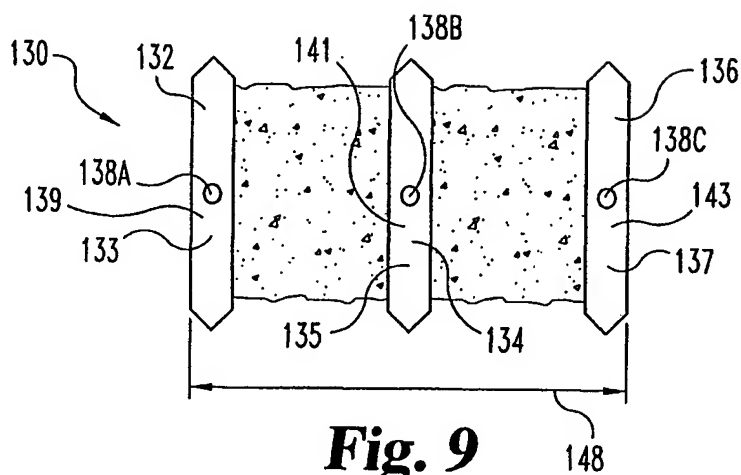


Fig. 9

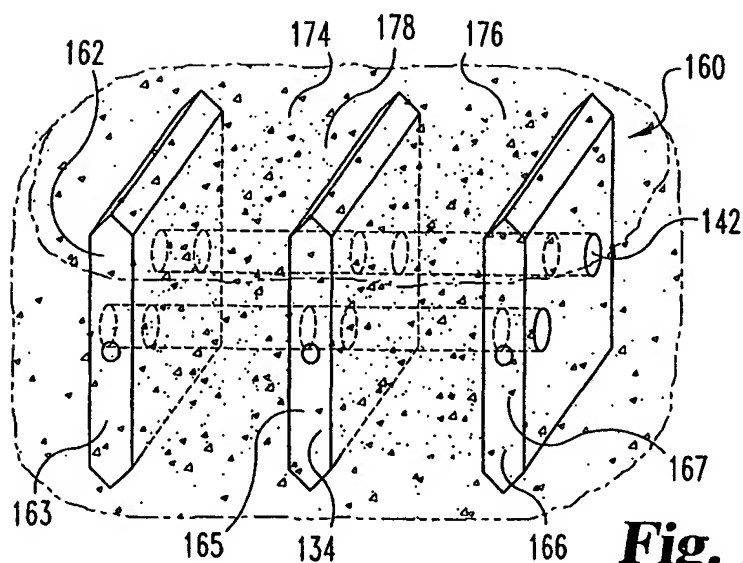


Fig. 10

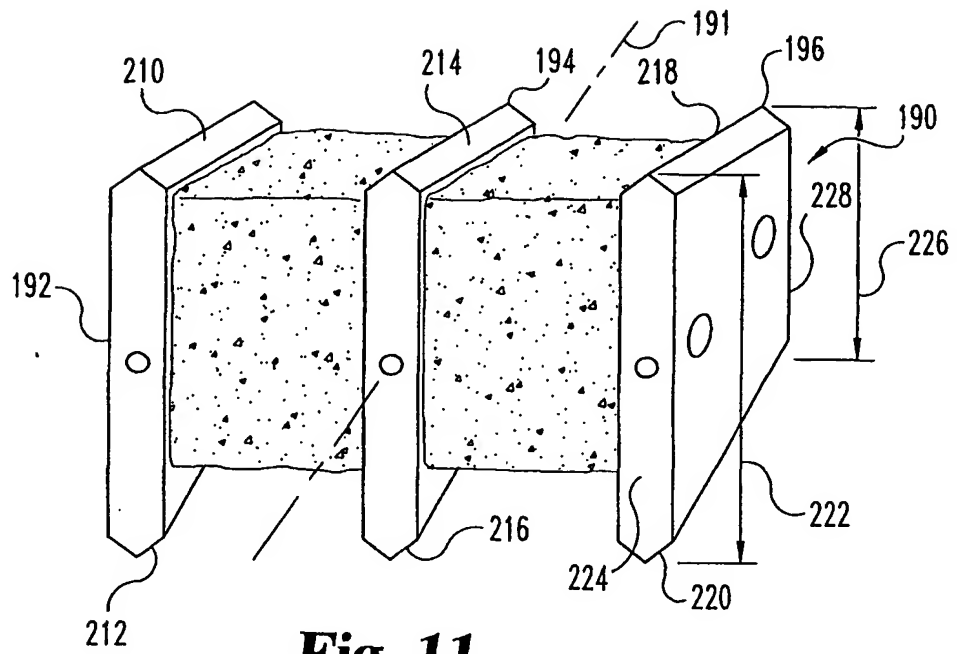


Fig. 11

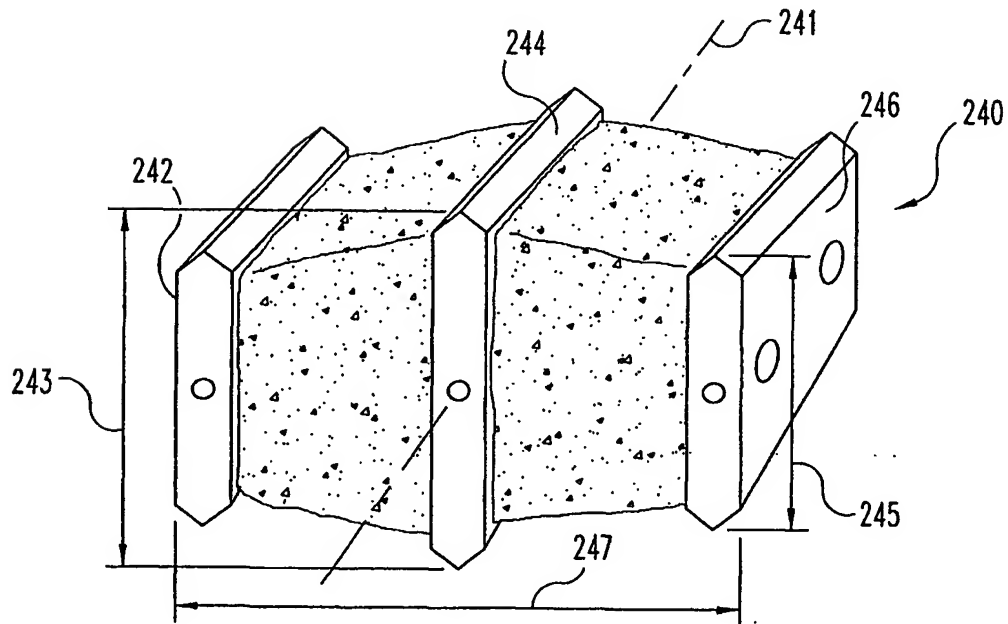


Fig. 12

6/9

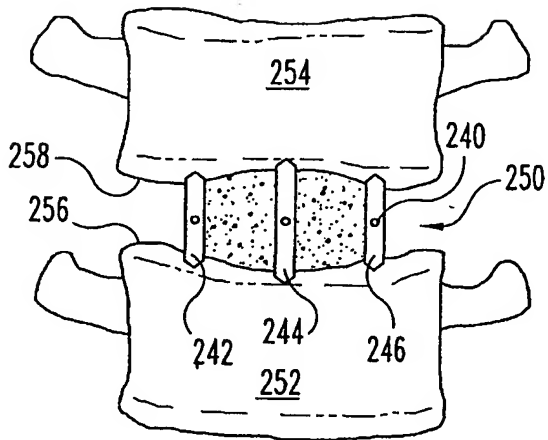


Fig. 13

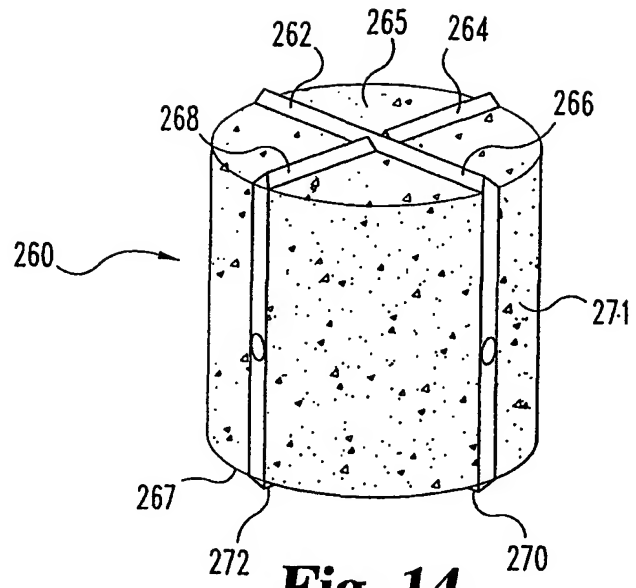


Fig. 14

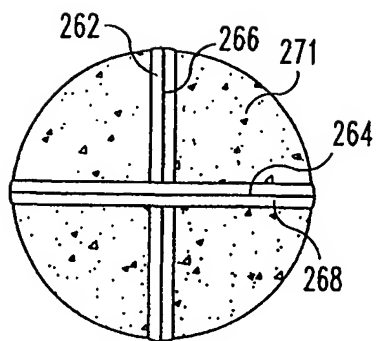


Fig. 15

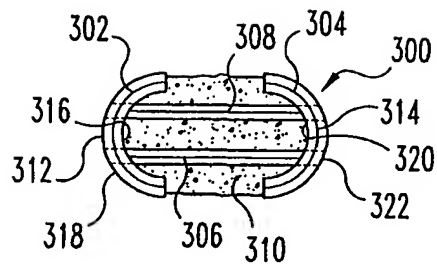


Fig. 17

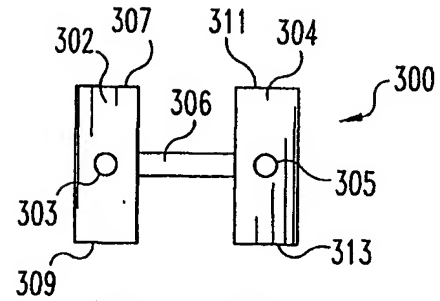


Fig. 18

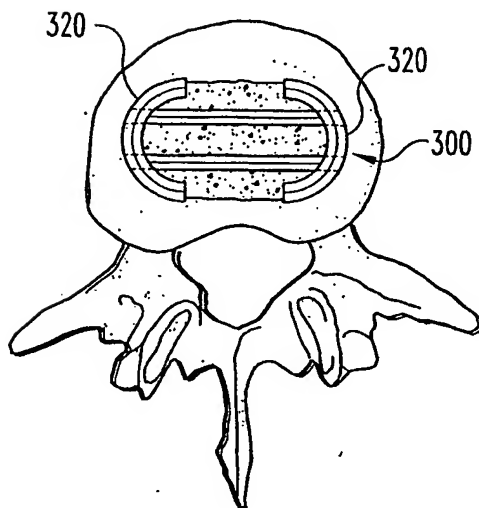


Fig. 19

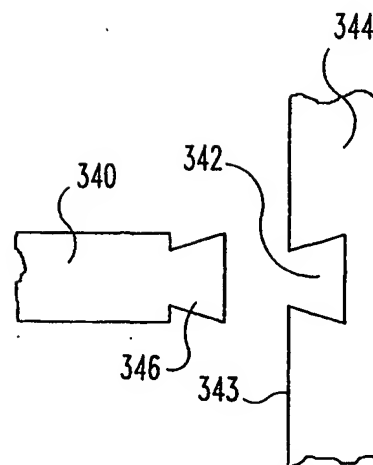
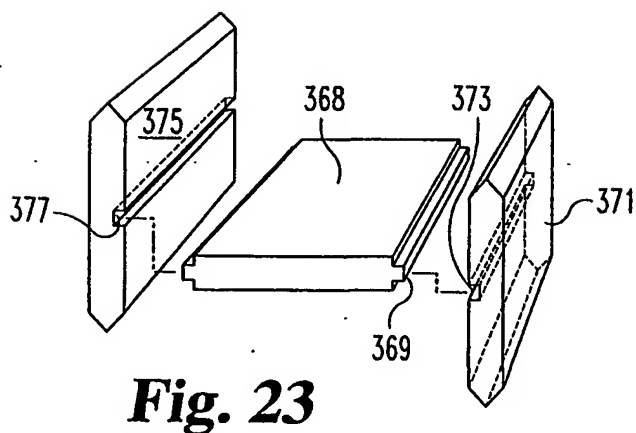
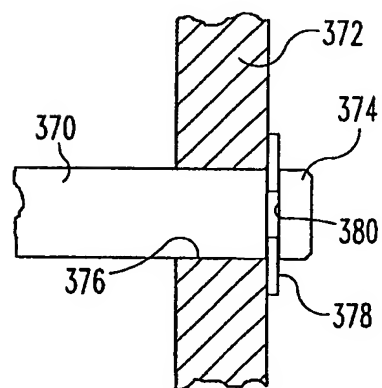
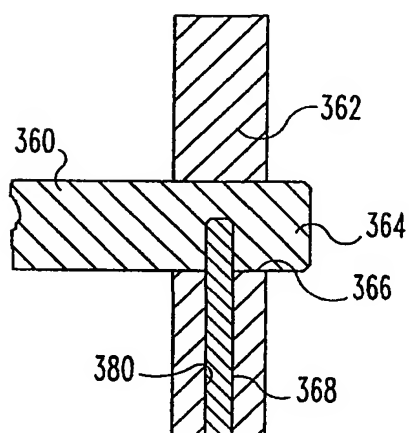
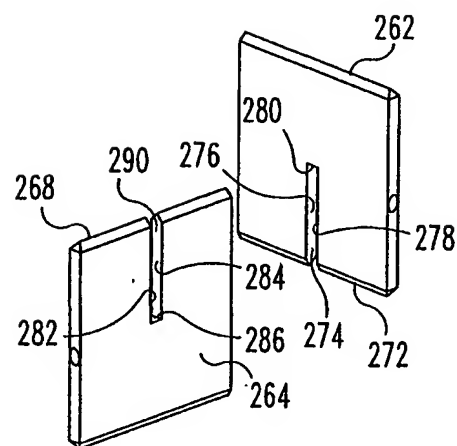
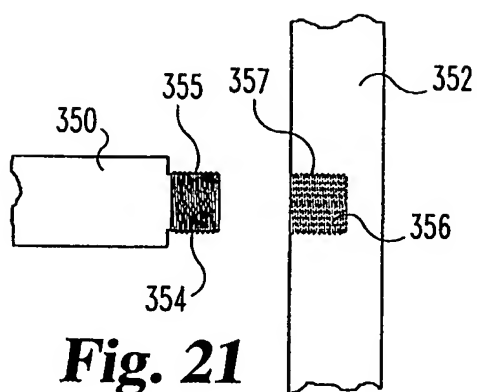


Fig. 20



9/9

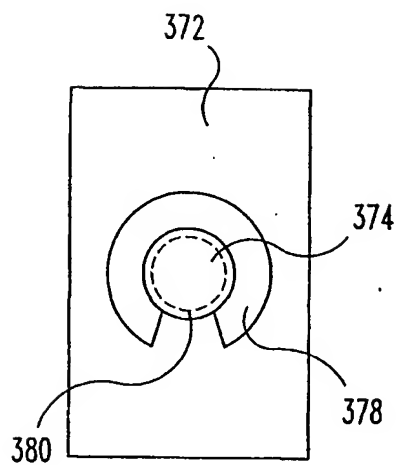


Fig. 25

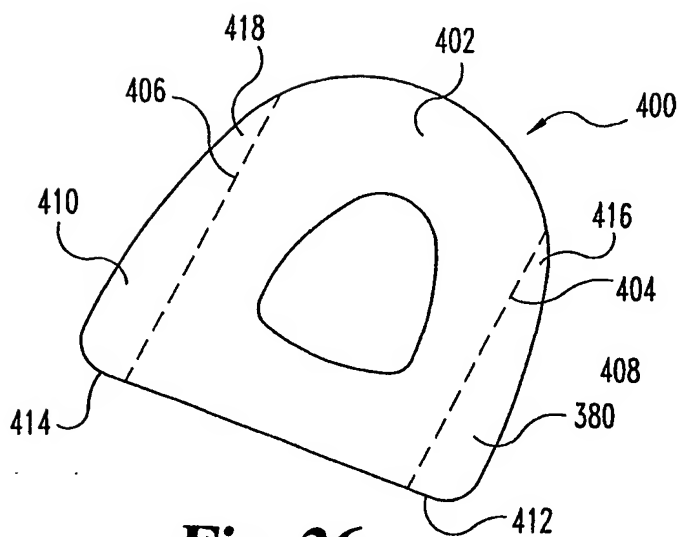


Fig. 26

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
28 March 2002 (28.03.2002)

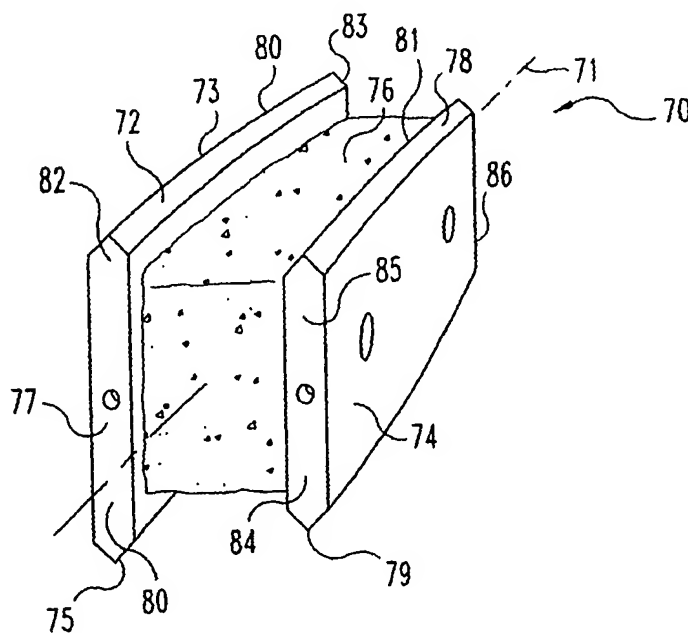
PCT

(10) International Publication Number
WO 02/024122 A3

- (51) International Patent Classification⁷: A61F 2/44 (74) Agents: MYERS, James, B., Jr. et al.; Woodard, Emhardt, Naughton, Moriarty & McNett, Bank One Center/Tower, Suite 3700, 111 Monument Circle, Indianapolis, IN 46204 (US).
- (21) International Application Number: PCT/US01/42193
- (22) International Filing Date: 18 September 2001 (18.09.2001) (81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data: 09/664,652 19 September 2000 (19.09.2000) US (84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).
- (71) Applicant (*for all designated States except US*): SDGI HOLDINGS, INC. [US/US]; 300 Delaware Avenue, Suite 508, Wilmington, DE 19801 (US).
- (72) Inventor; and
- (75) Inventor/Applicant (*for US only*): BOYD, Lawrence, M. [US/US]; 25 Birnham Lane, Durham, NC 27707 (US).
- Published:
— with international search report

[Continued on next page]

(54) Title: REINFORCED MOLDED IMPLANT FORMED OF CORTICAL BONE



(57) Abstract: This invention relates to arthodesis for stabilizing the spine. More specifically, the present invention is directed to an intervertebral spacer (10, 40, 70, 90, 130, 160, 240, 260 and 300) formed of a bone material and to methods of treating patients having spinal deformities. The invention provides a spinal implant assembly, for example, spacer (10) comprising bone-derived components (12, 14, 16 and 16A). The bone-derived components can be formed into modular units that can be assembled to provide a wide variety of implant configurations. In addition, the bone-derived components can form scaffold that can withstand the shear and impact forces necessary to insert the assembled implant into a disc space between adjacent vertebrae. In preferred forms the implants define an open spacer that serves as a depot for receipt of an osteoconductive material (48). The osteogenic material is preferably a moldable filler that can form a molded reinforced implant.

WO 02/024122 A3



(88) Date of publication of the international search report:
25 July 2002

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(15) Information about Correction:

Previous Correction:

see PCT Gazette No. 21/2002 of 23 May 2002, Section II

INTERNATIONAL SEARCH REPORT

International Application No
PCT/US 01/42193

A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 A61F2/44

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A61F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 00 40177 A (LIFENET) 13 July 2000 (2000-07-13) page 7, last paragraph; claim 6; figures 31A,B	1-36
A	WO 99 29271 A (SDGI HOLDINGS INC ;MCKAY WILLIAM F (US)) 17 June 1999 (1999-06-17) claim 30; figure 4	1-36
A	DE 94 13 778 U (SCHAEFER MICOMED GMBH) 4 January 1996 (1996-01-04) claim 1; figure 2	1-36
P,A	WO 01 49219 A (KALAS ROLF DIETER ;SCHOEPF CHRISTOPH (DE); KOSCHATZKY KARL (DE); K) 12 July 2001 (2001-07-12) page 8, paragraph 3; figure 2	32
	--- -/--	

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

* Special categories of cited documents:

- *A* document defining the general state of the art which is not considered to be of particular relevance
- *E* earlier document but published on or after the international filing date
- *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- *O* document referring to an oral disclosure, use, exhibition or other means
- *P* document published prior to the international filing date but later than the priority date claimed

- *T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- *Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- *A* document member of the same patent family

Date of the actual completion of the international search

9 April 2002

Date of mailing of the international search report

19/04/2002

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
Fax: (+31-70) 340-3016

Authorized officer

Korth, C-F

INTERNATIONAL SEARCH REPORT

International Application No
PCT/US 01/42193

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
E	WO 01 78798 A (REGENERATION TECHNOLOGIES INC) 25 October 2001 (2001-10-25) claims 17,31; figure 3 -----	1

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 01/42193

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
WO 0040177	A	13-07-2000	US 6200347 B1 EP 1148847 A1 WO 0040177 A1	13-03-2001 31-10-2001 13-07-2000
WO 9929271	A	17-06-1999	US 6146420 A AU 1814799 A CA 2312955 A1 EP 1037578 A1 JP 2001525224 T WO 9929271 A1 US 2001001129 A1	14-11-2000 28-06-1999 17-06-1999 27-09-2000 11-12-2001 17-06-1999 10-05-2001
DE 9413778	U	04-01-1996	DE 9413778 U1 DE 4432033 A1	04-01-1996 29-02-1996
WO 0149219	A	12-07-2001	AU 2005201 A WO 0149219 A1	16-07-2001 12-07-2001
WO 0178798	A	25-10-2001	AU 4315001 A WO 0178798 A1 US 2001031254 A1	30-10-2001 25-10-2001 18-10-2001

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
29 August 2002 (29.08.2002)

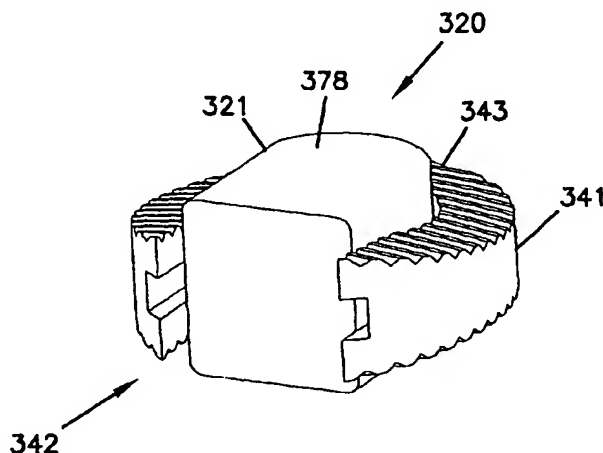
PCT

(10) International Publication Number
WO 02/065957 A3

- (51) International Patent Classification⁷: **A61F 2/44**,
2/28, 2/46, A61B 17/16, 17/02
- (21) International Application Number: PCT/US02/05314
- (22) International Filing Date: 19 February 2002 (19.02.2002)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:
60/269,777 16 February 2001 (16.02.2001) US
09/896,926 28 June 2001 (28.06.2001) US
- (71) Applicant (for all designated States except US): **SULZER SPINE-TECH INC.** [US/US]; 7375 Bush Lake Road, Minneapolis, MN 55439-2029 (US).
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): **HANSON, David, A.** [US/US]; 3237 Girard Avenue South, #2, Minneapolis, MN 55408 (US). **LONGHINI, Ross, A.** [US/US];
- 6155 Courly Alcove, Woodbury, MN 55125 (US). **MCPHILLIPS, Daniel, D.** [US/US]; 13420 Shenandoah Street Northeast, Ham Lake, MN 55304 (US). **SEME, Steven, J.** [US/US]; 14590 Joppa Avenue South, Savage, MN 55378 (US).
- (74) Agents: **DAIGNAULT, Ronald, A.** et al.; Merchant & Gould P.C., P.O. Box 2903, Minneapolis, MN 55402-0903 (US).
- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZM, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM),

[Continued on next page]

(54) Title: BONE IMPLANTS AND METHODS



(57) Abstract: The disclosure provides implants, instruments and methods for bone fusion procedures. In some embodiments, the implants are particularly advantageous for use between opposing vertebral bodies to facilitate stabilization or arthrodesis of an intervertebral joint. The implants include, at least, a support component that provides structural support during fusion. In a typical embodiment, the implants also include a growth component. A growth component provides an environment conducive to new bone growth between the bones being fused. Several unique configurations to enhance fusion, instruments for insertion and methods for insertion are also disclosed.



European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

(88) Date of publication of the international search report:
17 April 2003

Published:

— with international search report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

INTERNATIONAL SEARCH REPORT

International Application No
PCT/US 02/05314

A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 A61F2/44 A61F2/28 A61F2/46 A61B17/16 A61B17/02

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A61F A61B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 98 17209 A (HOECK JAMES E VAN ;SDGI HOLDINGS INC (US); BOYD LAWRENCE M (US); M) 30 April 1998 (1998-04-30) claims 56-60; figures 3-6,18-20,24-33,38-49; examples 5-7 page 19, line 18 - line 26 page 21, line 31 -page 22, line 15 page 25, line 34 -page 26, line 11 page 28, line 23 -page 29, line 26	1-8, 15-19, 21-23
Y A	--- -/--	34-38 10-14

☒ Further documents are listed in the continuation of box C.☒ Patent family members are listed in annex.

* Special categories of cited documents :

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search

27 September 2002

Date of mailing of the international search report

15. 01. 2003

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
Fax: (+31-70) 340-3016

Authorized officer

Stach, R

INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 02/05314

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 00 41654 A (SDGI HOLDINGS INC ;BOYD LAWRENCE M (US); DORCHAK JOHN D (US); BURK) 20 July 2000 (2000-07-20) claims 1-5,8-11,36-38; figures 14,15 page 3, line 16 - line 19 page 16, line 3 - line 8 page 18, line 16 - line 21 page 24, line 29 -page 25, line 4	1-4,9,15
A	---	19,21, 22,34, 36,37
X	US 4 950 296 A (MCINTYRE JONATHAN L) 21 August 1990 (1990-08-21) claim 1; figures	1-8
X	---	
X	WO 01 08714 A (REGENERATION TECHNOLOGIES INC) 8 February 2001 (2001-02-08) figures 4-8; examples 9,10,13 page 22, line 1 -page 23, line 25	1,2,4,8, 15
A	---	6,7,17, 19,21,22
Y	US 6 056 749 A (KUSLICH STEPHEN D) 2 May 2000 (2000-05-02) claims 18,22; figures 1-6,9-12	34-38
A	---	1
A	US 5 865 845 A (THALGOTT JOHN S) 2 February 1999 (1999-02-02)	
A	---	
A	US 5 769 897 A (HAERLE ANTON) 23 June 1998 (1998-06-23)	
P,X	---	
P,X	WO 02 03867 A (SULZER SPINE TECH INC ;SEME STEVEN J (US); HANSON DAVID A (US); LO) 17 January 2002 (2002-01-17) claim 47; figures 40-49 page 16, line 16 - line 18 page 19, line 11 - line 20 -----	1-19, 21-23, 34-38

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US 02/05314

Box I Observations where certain claims were found unsearchable (Continuation of Item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.: 47-57
because they relate to subject matter not required to be searched by this Authority, namely:
Rule 39.1(iv) PCT - Method for treatment of the human or animal body by surgery
2. ☐ Claims Nos.:
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

see additional sheet

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☒ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:
1-19, 21-23, 34-38

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. Claims: 1-19, 21-23, 34-38

A bone implant comprising:
a support member sized for intervertebral implantation, defining a cavity having an open end positioned opposite from a closed end; and
a growth member, having a premanufactured shape, sized to be inserted into the cavity of the support member through the open end of the cavity after implantation of the support member.

(Problem: Providing immediate improved stability for the spine through the support member and allowing non destructive insertion of a growth member after implantation of the support member, for reaching longterm stability of the implant in the intervertebral space through bone ingrowth into the growth member)

2. Claims: 20, 24-33, 34-38

A bone implant comprising:
a support member sized for intervertebral implantation, defining a channel having an open end and a closed end, the channel being defined by opposing inner wall surfaces having opposing slots extending from the open to the closed end of the channel.

(Problem: Secure and easy engagement of an insertion tool into an implant by providing slots on the walls of an implant for the rails of the head of an insertion tool)

3. Claim : 39

A generally horseshoe-shaped spinal implant and;
a rasp for preparing vertebral implants for insertion having a corresponding horseshoe-shaped rasping head.

(Problem: Preparing a correctly sized bed in the bone for a horseshoe-shaped implant)

4. Claims: 40-45

An implant system comprising:
a rasp including a head and a shaft; and
a chisel, for chiseling vertebral bodies, the chisel defining an inner passage for receiving the shaft of the rasp.

(Problem: Enabling easy preparation of a whole in a

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

vetrebral body through a combined chisel and rasp process)

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 02/05314

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
WO 9817209	A	30-04-1998	AU 732421 B2	26-04-2001
			AU 4994697 A	15-05-1998
			EP 0955961 A2	17-11-1999
			JP 2000507484 T	20-06-2000
			KR 2000052740 A	25-08-2000
			WO 9817209 A2	30-04-1998
			US 6371988 B1	16-04-2002
			US 5989289 A	23-11-1999
			US 5888222 A	30-03-1999
WO 0041654	A	20-07-2000	AU 2499500 A	01-08-2000
			AU 2963300 A	01-08-2000
			EP 1143885 A2	17-10-2001
			EP 1139930 A2	10-10-2001
			JP 2002534211 T	15-10-2002
			JP 2002534212 T	15-10-2002
			WO 0041654 A2	20-07-2000
			WO 0041655 A2	20-07-2000
US 4950296	A	21-08-1990	NONE	
WO 0108714	A	08-02-2001	AU 6499800 A	19-02-2001
			EP 1202755 A1	08-05-2002
			WO 0108714 A1	08-02-2001
US 6056749	A	02-05-2000	AU 3888000 A	04-10-2000
			EP 1165003 A1	02-01-2002
			NZ 513946 A	28-09-2001
			WO 0054715 A1	21-09-2000
US 5865845	A	02-02-1999	AU 2072797 A	22-09-1997
			EP 0888097 A1	07-01-1999
			WO 9732547 A1	12-09-1997
US 5769897	A	23-06-1998	NONE	
WO 0203867	A	17-01-2002	US 2002068941 A1	06-06-2002
			AU 7174901 A	21-01-2002
			WO 0203867 A2	17-01-2002
			WO 02065957 A2	29-08-2002

CORRECTED VERSION

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
28 March 2002 (28.03.2002)

PCT

(10) International Publication Number
WO 02/24122 A2

- (51) International Patent Classification⁷: A61F 2/44
- (21) International Application Number: PCT/US01/42193
- (22) International Filing Date:
18 September 2001 (18.09.2001)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:
09/664,652 19 September 2000 (19.09.2000) US
- (71) Applicant (for all designated States except US): SDGI HOLDINGS, INC. [US/US]; 300 Delaware Avenue, Suite 508, Wilmington, DE 19801 (US).

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.

(84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

(72) Inventor; and

(75) Inventor/Applicant (for US only): BOYD, Lawrence, M. [US/US]; 25 Birnham Lane, Durham, NC 27707 (US).

Published:

— without international search report and to be republished upon receipt of that report

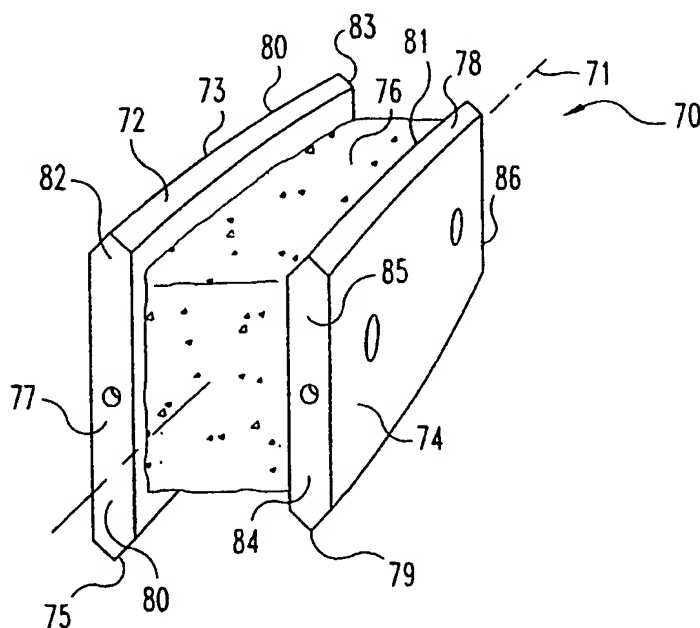
(74) Agents: MYERS, James, B., Jr. et al.; Woodard, Emhardt, Naughton, Moriarty & McNett, Bank One Center/Tower, Suite 3700, 111 Monument Circle, Indianapolis, IN 46204 (US).

(48) Date of publication of this corrected version:

23 May 2002

[Continued on next page]

(54) Title: REINFORCED MOLDED IMPLANT FORMED OF CORTICAL BONE



(57) Abstract: This invention relates to arthodesis for stabilizing the spine. More specifically, the present invention is directed to an intervertebral spacer (10, 40, 70, 90, 130, 160, 240, 260 and 300) formed of a bone material and to methods of treating patients having spinal deformities. The invention provides a spinal implant assembly, for example, spacer (10) comprising bone-derived components (12, 14, 16 and 16A). The bone-derived components can be formed into modular units that can be assembled to provide a wide variety of implant configurations. In addition, the bone-derived components can form scaffold that can withstand the shear and impact forces necessary to insert the assembled implant into a disc space between adjacent vertebrae. In preferred forms the implants define an open spacer that serves as a depot for receipt of an osteoconductive material (48). The osteogenic material is preferably a moldable filler that can form a molded reinforced implant.

WO 02/24122 A2



(15) Information about Correction:

see PCT Gazette No. 21/2002 of 23 May 2002, Section II

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
28 March 2002 (28.03.2002)

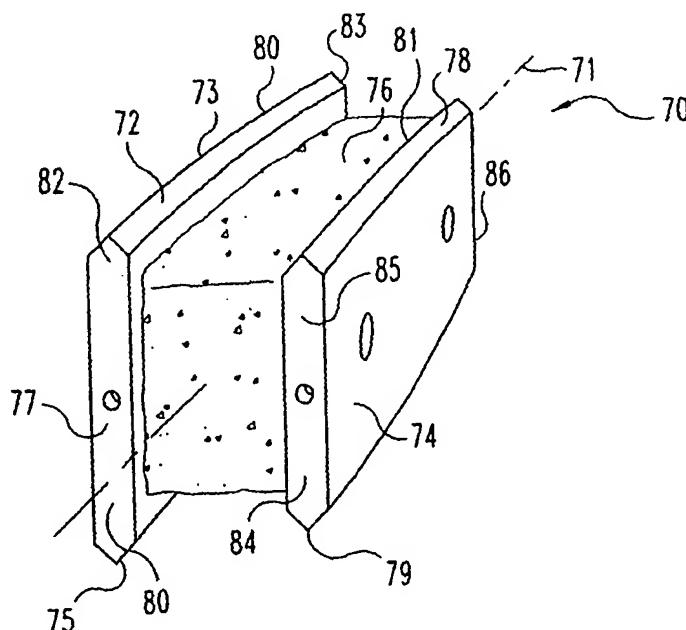
PCT

(10) International Publication Number
WO 02/024122 A3

- (51) International Patent Classification⁷: A61F 2/44 (74) Agents: MYERS, James, B., Jr. et al.; Woodard, Emhardt, Naughton, Moriarty & McNett, Bank One Center/Tower, Suite 3700, 111 Monument Circle, Indianapolis, IN 46204 (US).
- (21) International Application Number: PCT/US01/42193
- (22) International Filing Date: 18 September 2001 (18.09.2001) (81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data: 09/664,652 19 September 2000 (19.09.2000) US (84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).
- (71) Applicant (*for all designated States except US*): SDGI HOLDINGS, INC. [US/US]; 300 Delaware Avenue, Suite 508, Wilmington, DE 19801 (US).
- (72) Inventor; and
- (75) Inventor/Applicant (*for US only*): BOYD, Lawrence, M. [US/US]; 25 Birnham Lane, Durham, NC 27707 (US).
- Published:
— with international search report

[Continued on next page]

(54) Title: REINFORCED MOLDED IMPLANT FORMED OF CORTICAL BONE



(57) Abstract: This invention relates to arthodesis for stabilizing the spine. More specifically, the present invention is directed to an intervertebral spacer (10, 40, 70, 90, 130, 160, 240, 260 and 300) formed of a bone material and to methods of treating patients having spinal deformities. The invention provides a spinal implant assembly, for example, spacer (10) comprising bone-derived components (12, 14, 16 and 16A). The bone-derived components can be formed into modular units that can be assembled to provide a wide variety of implant configurations. In addition, the bone-derived components can form scaffold that can withstand the shear and impact forces necessary to insert the assembled implant into a disc space between adjacent vertebrae. In preferred forms the implants define an open spacer that serves as a depot for receipt of an osteoconductive material (48). The osteogenic material is preferably a moldable filler that can form a molded reinforced implant.

WO 02/024122 A3



- (88) **Date of publication of the international search report:** 25 July 2002 *For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*
- (15) **Information about Correction:**
Previous Correction:
see PCT Gazette No. 21/2002 of 23 May 2002, Section II

INTERNATIONAL SEARCH REPORT

International Application No.
PCT/US 01/42193

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 A61F2/44

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
IPC 7 A61F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 00 40177 A (LIFENET) 13 July 2000 (2000-07-13) page 7, last paragraph; claim 6; figures 31A,B	1-36
A	WO 99 29271 A (SDGI HOLDINGS INC ;MCKAY WILLIAM F (US)) 17 June 1999 (1999-06-17) claim 30; figure 4	1-36
A	DE 94 13 778 U (SCHAEFER MICOMED GMBH) 4 January 1996 (1996-01-04) claim 1; figure 2	1-36
P,A	WO 01 49219 A (KALAS ROLF DIETER ;SCHOEPPF CHRISTOPH (DE); KOSCHATZKY KARL (DE); K) 12 July 2001 (2001-07-12) page 8, paragraph 3; figure 2	32

-/-



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

* Special categories of cited documents:

- *A* document defining the general state of the art which is not considered to be of particular relevance
- *E* earlier document but published on or after the international filing date
- *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- *O* document referring to an oral disclosure, use, exhibition or other means
- *P* document published prior to the international filing date but later than the priority date claimed

- *T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- *Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- *A* document member of the same patent family

Date of the actual completion of the international search

9 April 2002

Date of mailing of the international search report

19/04/2002

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
Fax: (+31-70) 340-3016

Authorized officer

Korth, C-F

INTERNATIONAL SEARCH REPORT

International Application No
PCT/US 01/42193

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
E	WO 01 78798 A (REGENERATION TECHNOLOGIES INC) 25 October 2001 (2001-10-25) claims 17,31; figure 3 -----	1

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 01/42193

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
WO 0040177	A	13-07-2000	US 6200347 B1 EP 1148847 A1 WO 0040177 A1	13-03-2001 31-10-2001 13-07-2000
WO 9929271	A	17-06-1999	US 6146420 A AU 1814799 A CA 2312955 A1 EP 1037578 A1 JP 2001525224 T WO 9929271 A1 US 2001001129 A1	14-11-2000 28-06-1999 17-06-1999 27-09-2000 11-12-2001 17-06-1999 10-05-2001
DE 9413778	U	04-01-1996	DE 9413778 U1 DE 4432033 A1	04-01-1996 29-02-1996
WO 0149219	A	12-07-2001	AU 2005201 A WO 0149219 A1	16-07-2001 12-07-2001
WO 0178798	A	25-10-2001	AU 4315001 A WO 0178798 A1 US 2001031254 A1	30-10-2001 25-10-2001 18-10-2001